



FSCA FAIS Notice 52 of 2026

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

EXEMPTION OF RESPONSABILITY SOUTH AFRICA PROPRIETARY LIMITED, 2026

The Financial Sector Conduct Authority, under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, read with section 281(3)(b) of the Financial Sector Regulation Act, 2017, hereby exempts responsAbility South Africa Proprietary Limited to the extent and subject to the conditions set out in the Schedule.

HANNELIE HATTINGH
SENIOR MANAGER: REGULATORY FRAMEWORKS
FINANCIAL SECTOR CONDUCT AUTHORITY

Date of publication: 21 July 2026

SCHEDULE

EXEMPTION OF RESPONSABILITY SOUTH AFRICA PROPRIETARY LIMITED, 2026

1. Definitions

In this Schedule, “**the Act**” means the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates—

“**client**”, in relation to responsAbility SA, means any direct and/or indirect subsidiary of responsAbility;

“**responsAbility**” means responsAbility Investments AG, a company incorporated in Switzerland with registration number CHE-110.061.297; an authorised asset manager by the Swiss Financial Market Supervisory Authority; and

“**responsAbility SA**” means responsAbility South Africa Proprietary Limited, a duly registered private company with registration number 2026/297011/07; a wholly-owned subsidiary of responsAbility Investments AG.

2. Extent of exemption and conditions

- (1) responsAbility SA is exempted from section 7(1) of the Act when rendering financial services, other than discretionary services, to a client on the conditions that responsAbility SA—
 - (a) remains, directly or indirectly, a subsidiary of responsAbility;
 - (b) prior to rendering any financial services to a client, discloses prominently in writing that it has been exempted from the Act; and
 - (c) promptly advises the Authority of any material change to the particulars furnished in its exemption application.
- (2) The exemption automatically lapses upon non-compliance with any condition referred to in subparagraph (1).
- (3) This exemption shall, subject to paragraph 3, be valid until **28 February 2030**.

3. Amendment and withdrawal of exemption and conditions

The exemption and conditions referred to in paragraph 2 are subject to-

- (a) amendment thereof published by the Authority by notice on its website; and
- (b) withdrawal in a like manner.

4. Short title and commencement

This Exemption is called the Exemption of responsAbility South Africa Proprietary Limited, 2026, and comes into operation on date of publication.